

Optical Switching of the Redox Activity of a Hydroxychromene

Mark T. Stauffer, Joy A. Grosko, Kamal Z. Ismail, and Stephen G. Weber*

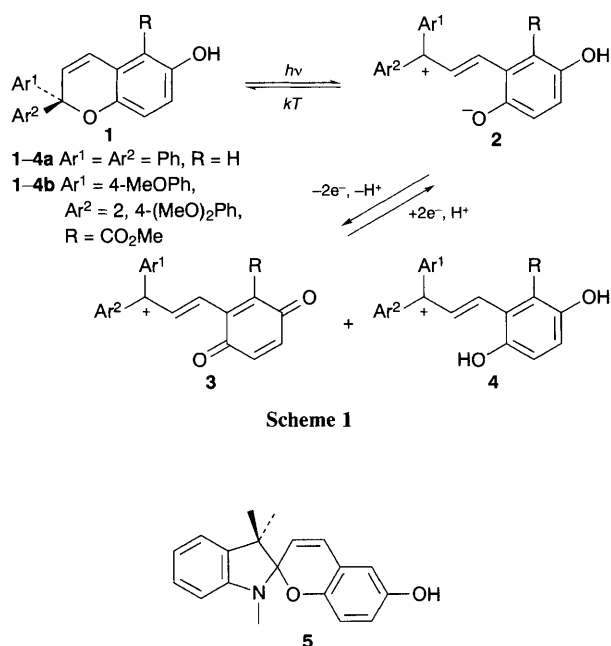
Department of Chemistry, University of Pittsburgh, Pittsburgh, Pennsylvania 15260 USA

Simultaneous illumination and oxidation allows the transient photoisomer of a hydroxychromene to be held open or 'trapped', with subsequent liberation of the trapped quinone by dark cathodic reduction.

An approach to exerting control over the light-induced switching capability of chromenes¹ is the combined use of photochemistry and electrochemistry. Potential applications in optical memory,² imaging,³ and control over binding to biomolecules,⁴ will require the ability to switch at will between two or more states upon application of external stimuli. Recently, Kawai *et al.*⁵ described a dithienylethene derivative in which a photochemical ring closure brought two phenols into contact through conjugation to yield hydroquinone–quinone-like redox electrochemistry. Prior to that, Liu *et al.*⁶ found that a liquid crystalline azobenzene could be reduced more easily in the photoisomeric *cis* than the *trans* form. Newell and Utley⁷ described an arylvinylanthraquinone in which the electrochemistry and photochemistry function independently of each other.

We have endeavoured to trap electrochemically the transient, merocyanine-like photoisomers of chromenes, indolino-spiropyran and indolinoxazines, which undergo thermal relaxation faster than azobenzenes^{1a} ($< 10^{-3}$ vs. 10^{-5} s⁻¹) and much faster than the thermally irreversible dithienylethenes.^{1f} The ability to trap the photoisomer, lending a permanence to the switching that the chromenes, indolino-spiropyran and indolinoxazines do not naturally possess, is important for molecular-level control in applications. Our approach, as applied to 6-hydroxy-2,2-diphenylchrom-3-enes, is postulated in Scheme 1. We take advantage of the difference in redox potentials between the pyran form **1**, an alkoxyphenol analogue, and the merocyanine form **2**, a hydroquinone monoanion analogue.

Our initial attempts at reversible electrochemical trapping of a photochromic species, involving a chromene **1a** (Scheme 1) and the analogous 6-hydroxy-1',3',3'-trimethylspiro[2H-1-benzopyran-2,2'-indoline] **5**,⁸ failed. In the case of **5**, oxidation at the indoline nitrogen of the closed form occurs at a potential near the oxidation of the hydroquinone of the open form. In the case of **1a**, electrochemical trapping is irreversible; this is



attributed to the electrophilicity of the trapped species **3a**. By analogy with previous work by Padwa *et al.*,⁹ ¹H NMR showed that when **1a** was photoisomerized in the presence of nucleophiles, *e.g.* OMe⁻, OH⁻ and OAc⁻, the photoproducts relaxed very slowly, or not at all, back to **1a**. We hypothesized that the cationic **3a**, containing only the positive centre, would be even more susceptible to attack by *e.g.* adventitious water. The results of the above experiments suggest that for a chromene to undergo reversible electrochemical trapping, it must possess electron-donating groups that can stabilize the positive charge that forms upon photoinduced cleavage of the pyran ring. At the same time, the electron-donating system must not be too electron-rich, otherwise it will undergo anodic oxidation (*e.g.* the indolino group of the indolino-spiropyran).

The electrode was reticulated vitreous carbon (RVC, 254 pores cm⁻¹). Electrochemistry, photochemistry and UV-VIS spectroscopy were carried out in the same RVC-containing 1 mm cuvette oriented at 45° to the spectroscopic and photoillumination light paths, which were orthogonal.

Compound **1b** (λ_{\max} 372 nm, $\epsilon_{372} = 5.1 \times 10^3$ dm³ cm⁻¹ mol⁻¹) exhibits typical photochromism.¹ Irradiation of a solution of **1b** (5.57×10^{-4} mol dm⁻³, MeCN) with 300–400 nm light yielded a visible absorption band (λ_{\max} 504 nm, $\epsilon_{504} = 1.1 \times 10^4$ dm³ cm⁻¹ mol⁻¹). Thermal relaxation follows in the dark ($k = 2.2 \times 10^{-3}$ s⁻¹).

We found that, as planned, anodic oxidation of **1b** and **2b** occurs at distinctly separate peak potentials (+0.92 and +0.33 V, respectively, vs. Fc⁺/Fc, by CV). Cyclic voltammetry of **1b** (Fig. 1) in the absence and presence of 300–400 nm light, shows that electrochemistry occurs during UV irradiation [$E_{pa} = +0.33$ V (vs. Fc⁺/Fc)] [Fig. 1(a)], and no electrochemistry occurs in the dark [Fig. 1(b)]. The trapping and reversal were achieved with illumination at the potentiostated RVC electrode [Fig. 2(a)] while monitoring the UV-VIS spectra [Fig. 2(b)]. The photochronoamperometry [Fig. 2(a)] clearly shows that **1b** undergoes no electrochemistry in the dark at +0.47 V (vs. Fc⁺/Fc). The simultaneously obtained spectra show that the solution is unchanged in the dark at +0.47 V (vs. Fc⁺/Fc) for 10 s [Fig. 2(b), —]. Upon simultaneous irradiation with 300–400 nm light and application of +0.47 V (vs. Fc⁺/Fc) for 15 s, there is a significant photocurrent and a large change in the visible spectrum. New absorption bands at 520 and 580 nm

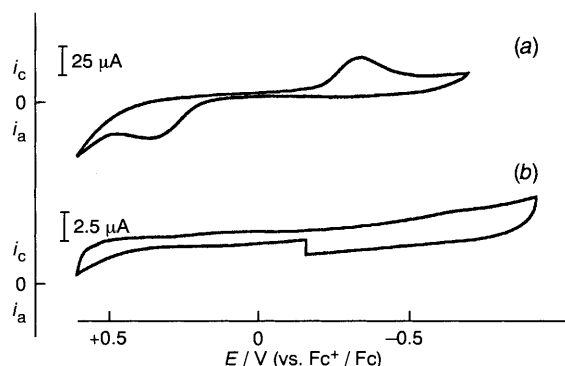


Fig. 1 CV of **1b** (1.06×10^{-3} mol dm⁻³) in Me₃CN containing 0.1 mol dm⁻³ TEAP (tetraethylammonium perchlorate) supporting electrolyte, (a) under 300–400 nm irradiation ($25 \mu\text{A cm}^{-2}$) and (b) in the dark ($2.5 \mu\text{A cm}^{-2}$). Scan rate 7 mV s^{-1}

appear [Fig. 2(b), ---]. The photoanodic trapping step is then reversed by application of -0.58 V (vs. Fc^+/Fc) [Fig. 2(a), $t = 37$ s] in the dark for 20 s. There is a large cathodic current, and the visible absorption spectrum returns nearly to its original appearance. UV photolysis of the cathodic reduction product [Fig. 2(b), - - -] at open circuit for 10 s yielded the spectrum of **2b** [Fig. 2(b), ---], verifying the return to **1b**. Successive photoanodic/dark cathodic cycling of **1b** revealed that the amount of **1b** recovered after the first cycle decreased by roughly 10%, with smaller decreases after successive cycles. This may be photochemical or electrochemical degradation, it may be due to lack of buffering (oxidation produces H^+), or it may simply be diffusion out of the observation volume.

We identified two absorption bands in the electronic spectrum following illumination at $+0.47$ V [Fig. 2(b), ---], one at 520 nm and one at 580 nm. In independent experiments we confirmed that the 580 nm band is due to protonation of the merocyanine form **4b**. We suggest that the 520 nm band is due to **3b**. We carried out anodic oxidation of **1b** in the dark as a control. UV-VIS spectroscopic monitoring of the dark anodic oxidation of **1b** revealed the appearance of two large absorption

bands at 410 and 550 nm. These bands are completely different from those observed during the photoanodic oxidation.

If the scheme proposed is correct, then we ought to be able to trap the photoisomer with a *homogeneous* electron transfer reaction. We have found that small amounts of water considerably diminish the redox potential for $\text{Fe}^{\text{III}}/\text{Fe}^{\text{II}}$ in $\text{MeCN}-\text{H}_2\text{O}$ solvents. Thus, when a solution of **1b** (0.37 mmol dm^{-3} , MeCN) and Fe^{III} [$\text{Fe}(\text{ClO}_4)_3$, 0.75 mmol dm^{-3} , MeCN] is prepared with 1.25% H_2O , we found that, in the dark, little or no reaction of **1b** with Fe^{III} occurred. Upon irradiation with 300–400 nm light, the 520 and 580 nm absorptions observed for the photoanodic oxidation appeared. On the other hand, when only 0.25% H_2O was added to the solvent, the $\text{Fe}^{\text{II}}/\text{Fe}^{\text{III}}$ couple is a more powerful oxidant. We observed oxidation of **1b** in the dark. The 410 and 550 nm absorption bands observed for the dark anodic oxidation of **1b** were also observed in the presence of 0.25% H_2O . Irradiation of this solution with 300–400 nm light caused further oxidation as evidenced by an increase in A_{520} and a shoulder at *ca.* 580 nm.

In summary, by using light to switch the redox potential of the electron-donor moiety of a hydroxychromene, we have demonstrated the ability to trap photoisomerized **1b** by anodic oxidation of **2b**, and then reverse the trapping by dark cathodic reduction of the trapped form back to **1b**. Homogeneous trapping adds a new dimension to potential applications while confirming the simple 'electron sink' role of the electrode.

We gratefully acknowledge the financial support of the Office of Naval Research. We also thank Mr David B. Knowles (PPG Industries, Inc.) for providing the chromenes used in this study.

Received, 10th May 1995; Com. 5/02979A

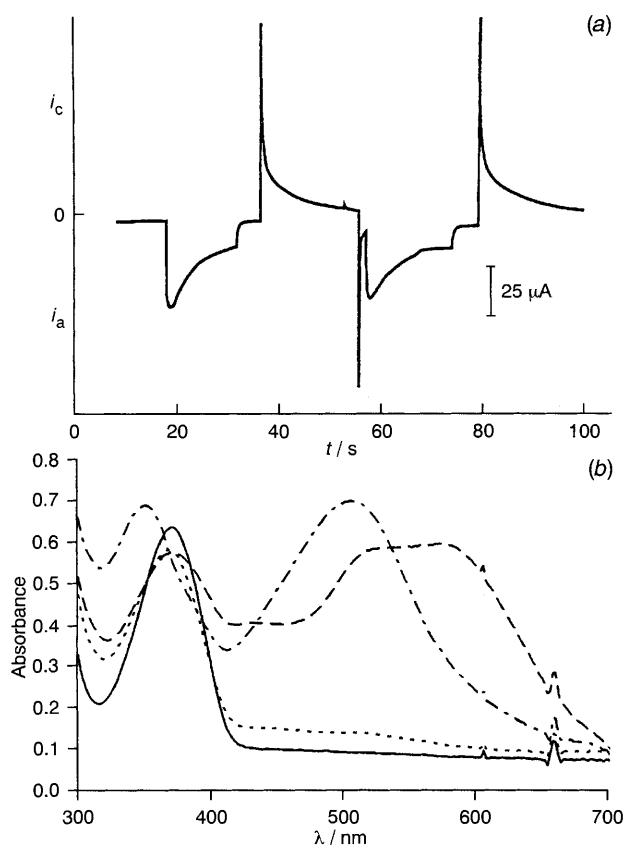


Fig. 2 (a) Photochronoamperometry of **1b**, two-cycle photoanodic oxidation/dark cathodic reduction: $t = 8$ s, start of experiment, $+0.47$ V; $t = 18$ s, $+0.47$ V, UV on; $t = 32$ s, $+0.47$ V, UV off; $t = 37$ s, -0.58 V, UV off; $t = 56$ s, $+0.47$ V, UV off; $t = 57$ s, $+0.47$ V, UV on; $t = 73$ s, $+0.47$ V, UV off; $t = 79$ s, -0.58 V, UV off; $t = 100$ s, potential off, UV off. (b) Electronic spectroscopic monitoring of the photoanodic oxidation/dark cathodic reduction of **1b**; (—) $+0.47$ V, dark, $t = 5$ s; (---) $+0.47$ V and 300–400 nm irradiation, $t = 25$ s; (- - -) -0.58 V, dark, $t = 50$ s; (· · ·) 300–400 nm irradiation, open circuit, $t = 110$ s. All potentials are vs. Fc^+/Fc . λ_{max} (nm): (—), 370; (---), 520, 580; (- - -), 370; (· · ·), 350, 504.

References

- (a) *Techniques of Chemistry, Volume III: Photochromism*, ed. G. H. Brown, Wiley-Interscience, New York, 1971; (b) *Photochromism*, ed. H. Durr, Elsevier, Amsterdam, 1993; (c) B. Van Gemert, M. Bergomi and D. B. Knowles, *Mol. Cryst. Liq. Cryst.*, 1994, **246**, 67; (d) J. Kolc and R. S. Becker, *J. Photochem. Photobiol.*, 1970, **12**, 383; (e) J. Kolc and R. S. Becker, *J. Phys. Chem.*, 1967, **71**, 4045; (f) M. Irie, in *Photochemistry and Polymeric Systems*, ed. J. M. Kelly, C. B. McArdle and M. J. de F. Maunder, Royal Society of Chemistry, Cambridge, 1993, pp. 169–178.
- J. Salbeck, V. N. Komissarov, V. I. Minkin and J. Daub, *Angew. Chem., Int. Ed. Engl.*, 1992, **31**, 1498; J. Achatz, C. Fischer, J. Salbeck and J. Daub, *J. Chem. Soc., Chem. Commun.*, 1991, 504.
- T. Ikeda, T. Sasaki and K. Ichimura, *Nature*, 1993, **361**, 428; T. Ikeda, T. Sasaki and H.-B. Kim, *J. Phys. Chem.*, 1991, **95**, 509; T. Ikeda, S. Horiuchi, D. B. Karanjit, S. Kurihara and S. Tazuke, *Macromolecules*, 1990, **23**, 42; S. Kurihara, T. Ikeda, T. Sasaki, H.-B. Kim and S. Tazuke, *J. Chem. Soc., Chem. Commun.*, 1990, 1751; W. E. Haas, K. F. Nelson, J. E. Adams and G. A. Dir, *J. Electrochem. Soc.*, 1974, **121**, 1667.
- S. Nadji, C. Wang and J. S. Taylor, *J. Am. Chem. Soc.*, 1992, **114**, 9266; M. Inouye, K. Kim and T. Kitao, *J. Am. Chem. Soc.*, 1992, **114**, 778; M. Inouye, M. Ueno and T. Kitao, *J. Am. Chem. Soc.*, 1990, **112**, 8977; I. Willner, S. Rubin, J. Wonner, F. Effenberger and P. Bauerle, *J. Phys. Chem.*, 1992, **96**, 3150.
- S. H. Kawai, S. L. Gilat and J.-M. Lehn, *J. Chem. Soc., Chem. Commun.*, 1994, 1011.
- Z. F. Liu, K. Hashimoto and A. Fujishima, *Nature*, 1990, **347**, 658.
- A. K. Newell and J. H. P. Utley, *J. Chem. Soc., Chem. Commun.*, 1992, 800.
- M. J. Preigh, Ph. D. Thesis, University of Pittsburgh, 1993.
- A. Padwa, A. Au, G. A. Lee and W. Owens, *J. Org. Chem.*, 1975, **40**, 1142.